

# Lie groupoids and Logarithmic connections

Francis Bischoff

Exeter College, University of Oxford

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# Plan of talk

Study flat connections on principal bundles with logarithmic singularities, using tools from the theory of Lie groupoids.

# Principal bundles

- $\pi : P \rightarrow X$  a principal  $G$ -bundle,
- $G$  a connected complex reductive group,
- Main example:  $G = GL(n, \mathbb{C})$ . Principal bundles in this case are equivalent to vector bundles.

# Connections

A connection on  $P$  is a bundle map

$$\nabla : TX \rightarrow TP/G$$

such that  $d\pi \circ \nabla = id$ .

- Locally  $\nabla = d + A$ ,  $A \in \Omega^1(X, \mathfrak{g})$ ,
- $At(P) = TP/G$  has the structure of a Lie algebroid, the Atiyah algebroid. A connection  $\nabla$  is flat if  $\nabla$  is a Lie algebroid morphism.

# Logarithmic singularities

- $D \subset X$  complex codimension 1 submanifold.
- $T_X(-\log D)$ : Lie algebroid of vector fields on  $X$  which are tangent to  $D$ .
- A flat connection with logarithmic singularities along  $D$  is a Lie algebroid homomorphism

$$\nabla : T_X(-\log D) \rightarrow At(P),$$

such that  $\nabla \circ d\pi = \rho$ .

- Locally  $\nabla = d + A\frac{dz}{z} + Bdx$ .

# Lie theoretic perspective

There are integrations of the various algebroids:

- $TX \rightsquigarrow \Pi(X)$  (ssc)
- $T_X(-\log D) \rightsquigarrow \Pi(X, D)$  (ssc)
- $At(P) \rightsquigarrow \mathcal{G}(P) = (P \times P)/G$ .

Lie's Second theorem (Mackenzie-Xu, Moerdijk-Mrčun):

- $\nabla : TX \rightarrow At(P) \rightsquigarrow T : \Pi(X) \rightarrow \mathcal{G}(P)$
- $\nabla : T_X(-\log D) \rightarrow At(P) \rightsquigarrow T : \Pi(X, D) \rightarrow \mathcal{G}(P)$



# Lie theoretic perspective

## Theorem

Let  $\mathcal{G}$  be a source simply connected Lie groupoid, with Lie algebroid  $A$ . There is an equivalence of categories

$$\text{Rep}(A, G) \cong \text{Rep}(\mathcal{G}, G).$$

Therefore, we study the representation theory of  $\Pi(X, D)$ .

# Outline

- 1 Local theory :  $\text{Rep}(\Pi(\mathbb{A}, 0), G)$
- 2 Global theory :  $\text{Rep}(\Pi(X, D), G)$

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# Local theory: ODE with Fuchsian singularity

We study differential equations on  $\mathbb{A}^1$  of the form

$$z \frac{ds}{dz} = A(z)s,$$

where  $A : \mathbb{A}^1 \rightarrow \mathfrak{g}$ , and  $s : \mathbb{A}^1 \rightarrow G$  is a fundamental solution.

Normal forms and classification results due to Levelt, Turrittin, Babbitt and Varadarajan, Kleptsyn and Rabinovich, Boalch, etc.

# Normal form and classification

$$z \frac{ds}{dz} = A(z)s,$$

- Try to simplify by finding  $s = g^{-1}t$ , for  $g : \mathbb{A} \rightarrow G$  such that

$$z \frac{dt}{dz} = A(0)t.$$

- Solution:  $s(z) = g^{-1}z^{A(0)}$ .
- Action of gauge transformation:  $g * A = gAg^{-1} + zg'g^{-1}$ .

# Normal form and classification

$$z \frac{ds}{dz} = A(z)s,$$

- Want to find  $g$  such that:

$$gAg^{-1} + zg'g^{-1} = A(0).$$

- Solve order by order in  $z$ :  $A = \sum_{i=0}^{\infty} z^i A_i$ .
- At stage  $k$ , use  $g_k = \exp(z^k X_k)$ . Then

$$g_k * A = A_0 + z^k (A_k + [X_k, A_0] + kX_k) + O(z^{k+1}).$$

Let  $X_k = (ad_{A_0} - k)^{-1}(A_k)$ .

- Then  $g = \prod_i g_i$  solves the problem.

# Resonance

If two eigenvalues of  $A_0$  differ by a non-zero integer  $k$ , then  $(ad_{A_0} - k)(X_k) = A_k$  may not admit a solution. The best we can hope for is the Levelt normal form

$$A(z) = S + \sum_{i \geq 0} z^i N_i,$$

where  $S$  semisimple,  $N_i$  nilpotent, and  $[S, N_i] = iN_i$ .

# Existing classifications

- 1 Classification in terms of analytic equivalence of Levelt normal form (Babbitt and Varadarajan, Kleptsyn and Rabinovich).
- 2 Classification in terms of monodromy operator and compatible Levelt filtration/ parabolic subgroup (Boalch).

These are difficult to make functorial because they use non-canonical normal forms.



# Lie theoretic approach

Logarithmic connections on  $\mathbb{A}$  are equivalent to representations of

$$\Pi(\mathbb{A}, 0) \cong \mathbb{C} \ltimes \mathbb{A} \rightrightarrows \mathbb{A}.$$

$$\begin{array}{ccc}
 & (\lambda, z) & \\
 & \curvearrowleft & \\
 e^{\lambda z} & & z
 \end{array}$$

We study the category  $\text{Rep}(\mathbb{C} \ltimes \mathbb{A}, G)$  whose objects consist of a principal  $G$ -bundles  $P \rightarrow \mathbb{A}$ , and homomorphisms  $\Phi : \mathbb{C} \ltimes \mathbb{A} \rightarrow \mathcal{G}(P)$ .

# Monodromy

- The monodromy of a representation  $(P, \Phi)$  is  $M(z) = \Phi(2\pi i, z)$ .
- $M$  is an automorphism of  $\Phi$ .

# Residue

- Groupoid homomorphism

$$\iota : \mathbb{C} \rightarrow \mathbb{C} \rtimes \mathbb{A}, \quad \lambda \mapsto (\lambda, 0).$$

- Pullback functor  $\iota^* : \text{Rep}(\mathbb{C} \rtimes \mathbb{A}, G) \rightarrow \text{Rep}(\mathbb{C}, G)$ .
- $\iota^*(\Phi)(\lambda) = \exp(\lambda R)$ , for  $R \in \text{aut}_G(P_0)$ , the residue of  $\Phi$ .

# Trivial representations

- Groupoid homomorphism

$$p : \mathbb{C} \rtimes \mathbb{A} \rightarrow \mathbb{C}, \quad (\lambda, z) \mapsto \lambda.$$

- Pullback functor  $p^* : \text{Rep}(\mathbb{C}, G) \rightarrow \text{Rep}(\mathbb{C} \rtimes \mathbb{A}, G)$ .
- Representations in the image of  $p^*$  are trivial.

# Linear approximation

$$L = p^* \circ \iota^* : \text{Rep}(\mathbb{C} \rtimes \mathbb{A}, G) \rightarrow \text{Rep}(\mathbb{C} \rtimes \mathbb{A}, G).$$

This functor takes an arbitrary representation and outputs the trivial representation determined by its residue.

# Linearization

## Definition

A linearization of a representation is an isomorphism

$$T : (P_0 \times \mathbb{A}, L(\Phi)) \rightarrow (P, \Phi).$$

The linearization is strict if  $\iota^*(T) = id$ .

- Can be thought of as a regularized parallel transport

$$T(1) : P_0 \rightarrow P_1.$$

- Linearizations encode the asymptotic nature of fundamental solutions at the singularity, and hence are closely related to the Levelt filtration.
- Linearizations do not always exist because of resonance.

# Recall: Jordan Chevalley decomposition

An arbitrary element  $g \in G$  has a unique decomposition of the form

$$g = su,$$

where  $s$  is semisimple,  $u$  is unipotent ( $(u - 1)^k = 0$ ), and  $su = us$ .

# Linearization

## Lemma

A representation  $\Phi$  is linearizable if it has semisimple monodromy.

Proof. Recall the Levelt normal form for the associated differential equation:

$$z \frac{ds}{dz} = As, \quad A(z) = S + \sum_{i \geq 0} z^i N_i,$$

where  $S$  semisimple,  $N_i$  nilpotent, and  $[S, N_i] = iN_i$ . Monodromy is given by

$$M(1) = \exp(2\pi i S) \exp(2\pi i N),$$

where  $N = \sum_{i \geq 0} N_i$ . Since  $M$  is semisimple,  $N = 0$ . ■



## Recall: Groupoid 1-cocycles

- A 1-cocycle for  $\mathbb{C} \ltimes \mathbb{A}$ , valued in a representation  $(P, \Phi)$ , is a section  $\sigma$  of  $t^*Aut_G(P)$  over  $\mathbb{C} \ltimes \mathbb{A}$ , which satisfies the following cocycle condition

$$\sigma(\mu, e^\lambda z)\Phi(\mu, e^\lambda z)\sigma(\lambda, z) = \sigma(\mu + \lambda, z)\Phi(\mu, e^\lambda z),$$

for all  $(\mu, \lambda, z) \in \mathbb{C} \times \mathbb{C} \times \mathbb{A}$ .

- Given a representation  $\Phi$ , and a cocycle  $\sigma$ , then  $\sigma \circ \Phi$  is a new representation.

# Untwisting cocycle

## Theorem

Let  $(P, \Phi)$  be a representation, and let  $U$  denote the unipotent part of its monodromy. Then the following defines a unipotent groupoid 1-cocycle

$$\sigma_{\Phi}(\lambda, z) = \exp\left(\frac{-\lambda}{2\pi i} \log(U(e^{\lambda} z))\right).$$

The deformed representation

$$\Phi_s := \sigma_{\Phi} \circ \Phi,$$

has semisimple monodromy.

This defines a functorial Jordan Chevalley decomposition for representations.

# Another look at resonance

Given a representation  $(P, \Phi)$ , the semisimple part  $\Phi_s$  admits linearizations.

- The space of linearizations  $\nu(\Phi_s)$  is a right torsor for  $Aut(L(\Phi_s))$ .
- The space of strict linearizations  $\nu_0(\Phi_s)$  is a right torsor for  $Aut_0(L(\Phi_s))$ , the subgroup of automorphisms which are the identity above  $0 \in \mathbb{A}$ .
- $Aut_0(L(\Phi_s))$  is non-trivial if and only if the representation is resonant.

# Another look at resonance

There is a split short exact sequence

$$1 \rightarrow \text{Aut}_0(L(\Phi_s)) \rightarrow \text{Aut}(L(\Phi_s)) \rightarrow \text{Aut}(i^*\Phi_s) \rightarrow 1.$$

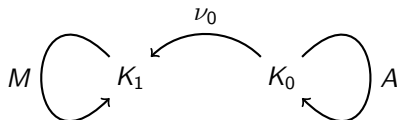
The splitting of this sequence is given by  $p^*$ .

## Another look at resonance

- A linearization of  $\Phi$  is equivalent to a linearization of  $\Phi_s$  which takes  $U$  to  $\iota^*(U)$ .
- Choose an arbitrary linearization of  $\Phi_s$ , which allows us to view  $U \in \text{Aut}(L(\Phi_s))$ . Then we are looking for an element of  $\text{Aut}(L(\Phi_s))$  which conjugates  $U$  to  $\iota^*(U)$ .

# Classification

Define a category  $F(\mathbb{C}, G)$ , whose objects are  $(M, K_1, \nu_0, K_0, A)$



- 1  $K_0$  and  $K_1$  are right  $G$ -torsors,
- 2  $A = S + N \in \text{aut}_G(K_0)$ ,
- 3  $\nu_0 \in \text{Hom}_G(K_0, K_1)$ , a right  $\text{Aut}_0(e^{\lambda S})$ -torsor,
- 4  $M \in \text{Aut}_G(K_1)$ , which stabilizes  $\nu_0 * \text{Aut}(e^{\lambda S})$

such that  $\pi(M) = \exp(2\pi iA)$ .

# Classification

## Theorem

There is an equivalence of categories

$$\begin{aligned}\mathcal{L} : \text{Rep}(\mathbb{C} \rtimes \mathbb{A}, G) &\rightarrow F(\mathbb{C}, G), \\ (P, \Phi) &\mapsto (M(1), P_1, \nu_0(\Phi_s), P_0, \text{Res}(\Phi)).\end{aligned}$$

This functor has an explicit inverse  $\mathcal{R} : F(\mathbb{C}, G) \rightarrow \text{Rep}(\mathbb{C} \rtimes \mathbb{A}, G)$ .

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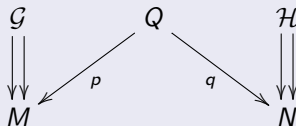
# Global Theory: Representations of $\Pi(X, D)$

- We study the category of flat connections on  $X$  with logarithmic singularities on  $D \subset X$  via the representations of  $\Pi(X, D)$ .
- Existing results due to Deligne, Simpson, Boalch, Ogus.
- Idea: Use Morita equivalence to reduce the representation theory of  $\Pi(X, D)$  to the representation theory of  $\mathbb{C} \times \mathbb{A}$  and  $\pi_1(X \setminus D)$ .

# Morita equivalence

## Definition

A *Morita equivalence* between Lie groupoids  $\mathcal{G} \rightrightarrows \mathcal{M}$  and  $\mathcal{H} \rightrightarrows \mathcal{N}$  is a bi-principal  $(\mathcal{G}, \mathcal{H})$  bi-bundle.



# Morita equivalence

## Definition

A Morita equivalence  $Q$  between  $\mathcal{G}$  and  $\mathcal{H}$  induces an equivalence of categories

$$\text{Rep}(\mathcal{G}, G) \cong \text{Rep}(\mathcal{H}, G)$$

# Morita equivalence

A useful method for constructing Morita equivalences is the following result.

## Criterion for Morita equivalent subgroupoid

Let  $\mathcal{G} \rightrightarrows M$  be a Lie groupoid with Lie algebroid  $A \rightarrow M$ , and  $N \subseteq M$  an embedded submanifold. If  $N$  intersects every orbit of  $\mathcal{G}$  and is transverse to  $A$ , then  $\mathcal{G}|_N$  is a Lie subgroupoid of  $\mathcal{G}$ , which is Morita equivalent to  $\mathcal{G}$ .

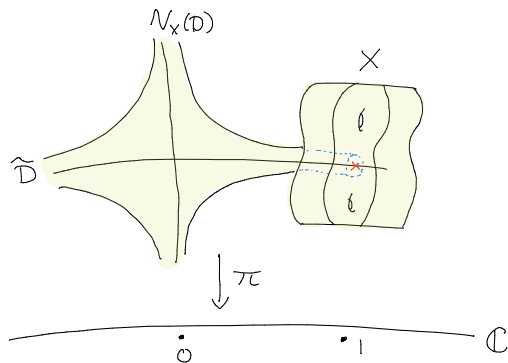
# Deformation space

Construct a larger space

$$\pi : Z = \mathcal{D} \cup (X \times B(1, r)) \rightarrow \mathbb{C}$$

where  $\mathcal{D}$  is the deformation to the normal cone of  $D$  in a tubular neighbourhood  $D \subset U \subset X$ .

# Deformation space $Z$



- There is a codimension 1 submanifold  $\tilde{D} \subseteq Z$ .
- $\pi^{-1}(1) = (X, D)$  and  $\pi^{-1}(0) = (N_X(D), 0)$ .

# Constructing the Morita equivalence

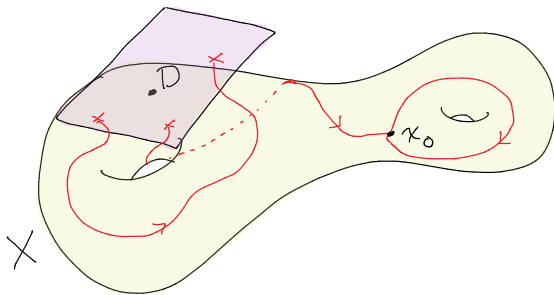
The groupoid  $\Pi(Z, \tilde{D})$  has two Morita equivalent subgroupoids:

- $\Pi(Z, \tilde{D})|_X \cong \Pi(X, D)$
- $\mathcal{N} := \Pi(Z, \tilde{D})|_{N_X(D)|_{d \cup \{x_0\}}}$ , determined by choice of  $d \in D$  and  $x_0 \in X \setminus D$ .

Therefore

$$\text{Rep}(\Pi(X, D), G) \cong \text{Rep}(\Pi(Z, \tilde{D}), G) \cong \text{Rep}(\mathcal{N}, G).$$

$\mathcal{N}$  = Groupoid of paths with tangential basepoints



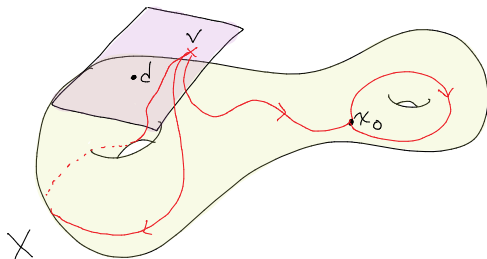


# Subgroupoids of $\mathcal{N}$

Choose non-zero  $v \in N_X(D)$ .

- $\Pi(X, D)|_{\bar{v}} := \mathcal{N}|_{\{v, x_0\}}$
- $A(N_X(D)|_d) \times N_X(D)|_d$ , where

$$0 \rightarrow \mathbb{Z} \rightarrow \pi(N_X(D)^\times, v) \times \mathbb{C} \rightarrow A(N_X(D)|_d) \rightarrow 0.$$



# Groupoid of paths with tangential basepoints

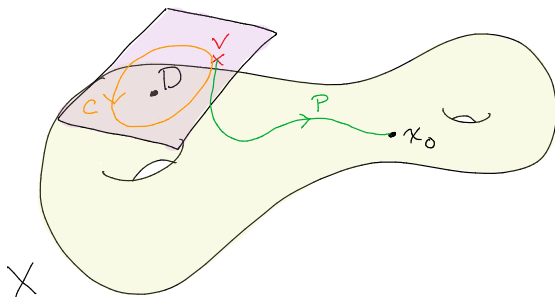
## Theorem

Pushout of holomorphic Lie groupoids

$$\begin{array}{ccc}
 \pi(N_X(D)^\times, \nu) & \longrightarrow & \Pi(X \setminus D)_{\bar{\nu}} \\
 \downarrow & & \downarrow \\
 A(N_X(D)|_d) \ltimes N_X(D)|_d & \longrightarrow & \mathcal{N}
 \end{array}$$

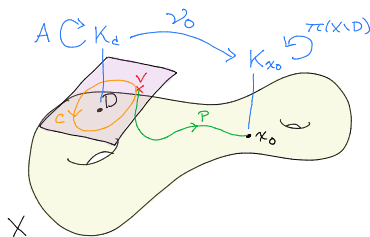
# Classification

- Let  $p : [0, 1] \rightarrow X \setminus D$ , such that  $p(0) = d$ ,  $p'(0) = v$ ,  $p(1) = x_0$ .
- Let  $c$  denote a loop in the fibre  $N_X(D)^\times|_d$ , and let  $l = pcp^{-1} \in \pi_1(X \setminus D, x_0)$ .





$$(\Phi, K_{x_0}, \nu_0, K_d, A)$$



- 1  $K_d$  and  $K_{x_0}$  are right  $G$ -torsors,
  - 2  $A = S + N \in \text{aut}_G(K_d)$ ,
  - 3  $\nu_0 \in \text{Hom}_G(K_d, K_{x_0})$  is a right  $\text{Aut}_0(e^{\lambda S})$ -torsor,
  - 4  $\Phi : \pi_1(X \setminus D) \rightarrow \text{Aut}_G(K_{x_0})$  is a homomorphism,
- such that  $\Phi(l)$  stabilizes  $\nu_0 * \text{Aut}(e^{\lambda S})$ , and  $\pi(\Phi(l)) = \exp(2\pi i A)$ .

# Classification

## Theorem

There is an equivalence of categories

$$\text{Rep}(T_X(-\log D), G) \cong F(\pi(X \setminus D, x_0), G).$$

Thank You